

02-14-02

A

UTILITY PATENT APPLICATION TRANSMITTAL
(for Noncontinuing, Nonprovisional Applications
under 37 C.F.R. § 1.53(b))

Attorney Docket No. 72978



JC511 U.S. PTO
02/13/02

Box PATENT APPLICATION

Commissioner of Patents and Trademarks

ATTENTION: Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R.
§ 1.53(b) is the nonprovisional, noncontinuing
patent application for:

Title: A Bi-Directional Dual Promoter Complex
with Enhanced Promoter Activity for
Transgene Expression in Eukaryotes

First Named Inventor or Application Identifier:
Li et al.

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit 02/13/02

I hereby certify that this paper or fee is being deposited
with the United States Postal Service "Express Mail
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Commissioner of Patents and Trademarks, Washington,
D.C. 20231.

JOANNY HAYNES
(Typed or printed name of person mailing)

Johny Haynes
(Signature of person mailing)

- 37 pages of the specification (including claims) are enclosed.
- 32 sheet(s) of drawings are enclosed. Formal Informal - FIGS. 1-27.
- An executed Oath or Declaration and Power of Attorney naming the actual inventors is enclosed.
- The names of persons believed to be the actual inventors are set forth in the enclosed unexecuted Oath or Declaration and Power of Attorney (§ 1.41(a) and § 1.53(b)).
- An Assignment of the invention to _____, and cover sheet are enclosed.
 - A check in the amount of \$_____ to cover the fee for recording the assignment is enclosed.
 - The Recordation Form Cover Sheet includes authorization to charge our Deposit Account for recording the assignment.
- A 37 C.F.R. § 3.73(b) statement is enclosed where an assignee seeks to take action.
- An Information Disclosure Statement is enclosed.
 - A Form PTO-1449 is enclosed.
 - _____ References (copies) listed on the Form PTO-1449 are enclosed.
- A Return Receipt Postcard is enclosed (MPEP § 503).

10075105-021305

- Priority of application number 60/268,358 filed on 02/13/01 in U.S.A. is claimed under 35 U.S.C. §119.
 - A certified copy of the priority document is enclosed.
- A Computer Program Listing Appendix is enclosed.
 - A Transmittal Cover Letter for Computer Program Listing Appendix is enclosed.
 - Two (2) Compact Discs are enclosed.
- A Nucleotide and/or Amino Acid Sequence Submission is enclosed.
 - A Computer Readable Copy is enclosed.
 - A Paper Copy (Identical to Computer Copy) is enclosed.
 - A Statement Verifying Identity of above Copies is enclosed.
- The filing fee is calculated below:

Fee Calculation For Claims As Filed

Basic Fee	\$	740.00
Independent Claims <u>7</u> - <u>3</u> = <u>4</u> x \$ <u>84.00</u> = \$ <u>336.00</u>	\$	336.00
Total Claims <u>23</u> - <u>20</u> = <u>3</u> x \$ <u>18.00</u> = \$ <u>54.00</u>	\$	54.00
Fee for Multiply Dependent Claims \$ <u>280.00</u>	\$	0.00
		<u>Total Filing Fee</u> <u>DEFER</u>

- Applicant(s) assert entitlement to Small Entity Status, reducing the Filing Fee by half to: \$
- A check in the amount of \$ _____ to cover the filing fee is enclosed.
- Charge \$ _____ to Deposit Account No. 06-1135.
- The payment of the Filing Fee is to be deferred until the Declaration is filed. Do not charge our Deposit Account.
- A separate written request under 37 C.F.R. §1.136(a)(3), which is a general authorization to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. §1.136(a) for its timely submission as incorporating a petition for an extension of time for the appropriate length of time, is enclosed.

- 10075105 - 021308
- The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in triplicate.
 - Also enclosed:
 - Address all future communications to Customer Number 22242.



02/13/02

Date



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Registration No. 35,234

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